

LAW OFFICES  
**SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC**

2100 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, DC 20037-3213  
TELEPHONE (202) 293-7060  
FACSIMILE (202) 293-7860  
www.sughrue.com

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BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Hidenori MARUYAMA  
INITIAL SYNCHRONIZATION METHOD IN DS-CDMA INTER-BASE  
STATION ASYNCHRONOUS CELLULAR SCHEME  
Our Ref. Q61808

Dear Sir:

Attached hereto is the application identified above including 32 sheets of the specification, claims, 7 sheets of formal drawings, executed Assignment and PTO 1595 form, and executed Declaration and Power of Attorney. Also enclosed is the Information Disclosure Statement with form PTO-1449 and references.

The Government filing fee is calculated as follows:

Total claims	10 - 20	=		x	\$18.00	=	\$0.00
Independent claims	2 - 3	=		x	\$80.00	=	\$0.00
Base Fee							\$710.00
<b>TOTAL FILING FEE</b>							<b>\$710.00</b>
Recordation of Assignment							\$40.00
<b>TOTAL FEE</b>							<b>\$750.00</b>

Checks for the statutory filing fee of \$710.00 and Assignment recordation fee of \$40.00 are attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 and any petitions for extension of time under 37 C.F.R. § 1.136 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from November 18, 1999 based on Japanese Application No. 327946/1999. The priority document is enclosed herewith.

Respectfully submitted,  
SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
Attorneys for Applicant

By: 

J. Frank Osha

Registration No. 24,625

**INITIAL SYNCHRONIZATION METHOD IN DS-CDMA INTER-BASE  
STATION ASYNCHRONOUS CELLULAR SCHEME**

**BACKGROUND OF THE INVENTION**

5   FIELD OF THE INVENTION:

          The present invention relates to a DS-CDMA cellular  
system and, more particularly, to an initial  
synchronization method for a DS-CDMA (Direct Sequence-Code  
Division Multiple Access) inter-base station asynchronous  
10   cellular scheme.

DESCRIPTION OF THE PRIOR ART:

          A CDMA cellular scheme using a wideband DS-CDMA  
(W-CDMA) scheme is used as a radio access scheme in  
next-generation mobile communication scheme IMT-2000.

15       Generally, cellular systems require two kinds of cell  
searches, namely, an initial cell search by which an  
initial cell to which a mobile station (MS) is to be  
connected is caught, and a neighboring cell search by  
which neighboring cells are searched out during a handover  
20   period. Notably in DS-CDMA cellular systems, each of the  
cells uses the same frequency. For this reason, initial  
synchronization is required concurrently with the cell  
search to reduce within 1/2 chip period the timing error  
between the spreading code of the received signal and the  
25   spreading code replica generated by a receiver.

Such DS-CDMA cellular systems can be categorized into two schemes, namely, inter-base station synchronous systems in which temporal synchronization is performed strictly among all base stations, and inter-base station  
5 asynchronous systems in which temporal synchronization is not performed.

Inter-base station synchronous systems achieve inter-base station synchronization using other systems such as the GPS (Global Positioning System). Since each  
10 of the base stations uses the same long code with different delays being given to the respective base stations, it suffices to synchronize only the timing of the long code during the initial search. In addition, the neighboring cell search during a handover period can be  
15 performed at a higher speed since the mobile station is notified of the code delay information of the neighboring base stations from the base station to which the mobile station belongs.

On the other hand, in inter-base station asynchronous  
20 systems, each of the base stations uses a different spreading code in order to identify the base stations. The mobile station needs to identify the spreading codes in performing the initial cell search. When searching neighboring cells during a handover period, the number of  
25 spreading codes used in the neighboring base stations can

be limited from the base station to which the mobile station belongs. In any case, however, in comparison with inter-base station synchronous system, the search time is longer. When a long code is used for the spreading code,  
5 it takes a very long time in the cell search. However, this inter-base station asynchronous system has an advantage in that other systems such as GPS are not required. This allows flexible system expansion from cellular systems to indoor and underground shopping  
10 centers.

A cell search scheme capable of solving these problems inherent in the inter-base station asynchronous systems and performing initial synchronization at a high speed is being proposed by Kenichi Higuchi, Mamoru  
15 Sawahashi, and Fumiyuki Adachi, in "High Speed Cell Search Method Using Long Code Mask in DS-CDMA Inter-Base Station Asynchronous System", Shingakugihou RCS96-122 (1997-01).

Fig. 1 shows an example of the format of a spreading code in a downstream control channel in the proposed  
20 conventional cell search method. Fig. 2 is a flow chart showing the proposed conventional three-stage cell search method.

In the proposed conventional cell search method, a long spreading code in a downstream control channel is  
25 masked in predetermined cycles, and a symbol is spread by

only a short code common to the respective base stations. The mobile station detects the reception timing of a long code by using this mask symbol to realize a high-speed cell search.

- 5       The proposed conventional cell search method will be described in detail below with reference to Fig. 2.

#### First Stage: Detection of Long Code Timing

- 10       First of all, the mobile station detects the correlation of a received signal and a common short code by using a matched filter, and a long code timing detecting section 71 detects the correlation peak value at a mask symbol position. The temporal position at which a maximum correlation output is detected after correlation values corresponding to an arbitrary number of frames are averaged is set as the mask symbol reception timing of a
- 15       connection destination channel.

#### Second Stage: Identification of Long Code Group

- 20       In the second stage, a long code group detecting section 72 detects the correlation between the received signal at the mask symbol reception timing detected in the first stage and each long code group identification short code. Correlation values corresponding to an arbitrary number of frames are averaged, and a frame timing and a long code group including a long code for spreading the
- 25       received signal are identified from a long code group

identification short code number at which a maximum correlation value is obtained.

### Third Stage: Identification of Long Code

In the third stage, a long code is identified. In  
5 the mobile station, a long code detecting section 73 sequentially detects the correlation values between the received signal and the long code candidates included in the long code group detected by using the frame timing detected in the second stage. By comparing the detected  
10 correlation detection values with each other, threshold determination sections 74 and 75 perform threshold determination. With this operation, a long code is identified. In this case, for each long code timing detection, correlation detection with each long code is  
15 performed an arbitrary number of times (which has been set to two) until a cell search is detected. This operation is performed to prevent a cell detection miss caused by a decrease in the reception level of a desired wave due to fading. As a threshold, a maximum correlation peak value  
20 in long code timing detection is used. If a correlation detection value exceeds the threshold, a correlation for the long code is detected again to check cell detection. After the check, a frame synchronization detecting section 76 performs data frame synchronization detection. If a  
25 detection error occurs, a search count section 77 performs

the third stage again by an arbitrary number of times. If this operation is performed beyond a predetermined number of times, the flow of processing returns to the first stage.

5       As described above, according to the three-stage cell search method proposed in the above reference, a cell search can be executed at a high speed, but faster initial synchronization is required.

10       In the conventional cell search method, as the probability of erroneous detection increases due to an increase in interference wave power with an increase in the number of users accommodated, a three-stage cell search must be repeated many times, resulting in an increase in search time.

15       In addition, as the number of long codes to be identified increases, the search time is undesirably prolonged.

#### SUMMARY OF THE INVENTION

20       It is an object of the present invention to provide an initial synchronization method in a DS-CDMA inter-base station asynchronous cellular scheme capable of performing a cell search at a higher speed in an inter-base station asynchronous CDMA communication system.

25       In order to achieve the above object, according to the main aspect of the present invention, in an initial

synchronization method in a DS-CDMA inter-base station asynchronous cellular scheme of transmitting a spreading code sequence constituted by a long code unique to each cell and a short code corresponding to each communication channel, an identification code (common identification code) common to each cell in a predetermined period (slot), and a long code group identification short code corresponding to the long code in each cell upon multiplexing the codes, a first stage comprises detecting correlation power values between the common identification code and a received signal by using a correlator, and detecting a long code timing from a corresponding base station on the basis of a maximum value of the correlation power values, and performing threshold determination by comparing the maximum correlation power value with an arbitrary threshold, and performing processing again from the first stage if the maximum correlation power value does not exceed the threshold, a second stage comprises detecting a correlation value between the received signal and each long code group identification short code, and obtaining a sum of the correlation values corresponding to the number of long code group identification short codes in each slot according to a transmission pattern of long code group identification short codes in each predetermined long code group, setting a group having a



maximum correlation sum as a group to which a long code of the received signal belongs, detecting a slot in which a head of the pattern is obtained as a start slot of a frame, and comparing an arbitrarily set threshold with the maximum correlation sum and performing processing again from the first stage if the maximum correlation sum does not exceed the threshold, and a third step comprises sequentially generating replica codes of long codes and short codes from long code candidates included in the long code group identified in the second stage, performing correlation detection for the obtained synchronization timing, performing long code correlation detection to check whether the correlation detection value exceeds an arbitrary threshold, returning to the first stage if none of correlation detection values of all long codes exceeds the threshold, and determining a long code exceeding the threshold as a long code of a target cell, performing synchronization detection by using a frame sync signal, completing initial synchronization if synchronization is detected, and returning to the first state if synchronization is not detected.

According to another aspect of the present invention, there is provided a DS-CDMA cellular system characterized by using the initial synchronization method according to the above aspect.

According to the initial synchronization method in the DS-CDMA inter-base station asynchronous cellular scheme, the overall processing efficiency improves and initial synchronization can be performed at a higher speed  
5 as compared with the conventional initial synchronization method.

In other words, according to the initial synchronization method in the DS-CDMA inter-base station asynchronous cellular scheme of the present invention,  
10 even if the probability of erroneous detection increases due to an increase in interference wave power or the like, the number of times of three-stage cell search can be decreased. In addition, since the cell search can be performed efficiently and quickly, the transmission  
15 efficiency and reliability of the apparatus can be improved, and power saving and the like can be attained.

The above and many other objects, features and advantages of the present invention will become manifest to those skilled in the art upon making reference to the  
20 following detailed description and accompanying drawings in which preferred embodiments incorporating the principle of the present invention are shown by way of illustrative examples.

#### BRIEF DESCRIPTION OF THE DRAWINGS

25 Fig. 1 is a view showing the format of a spreading

code in a downstream control channel in the prior art;

Fig. 2 is a flow chart showing processing in the prior art;

Fig. 3 is a flow chart showing an initial  
5 synchronization method in a DS-CDMA inter-base station asynchronous cellular scheme according to an embodiment of the present invention;

Fig. 4 is a block diagram showing an example of an arrangement in the first stage in the embodiment shown in  
10 Fig. 3;

Fig. 5 is a view for explaining how a threshold is set in the first stage in the embodiment shown in Fig. 3;

Fig. 6 is a block diagram showing an example of an arrangement in the second stage in the embodiment shown in  
15 Fig. 3; and

Fig. 7 is a block diagram showing an example of an arrangement in the third stage in the embodiment shown in Fig. 3.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

20 An embodiment of the present invention will be described in detail below with reference to the accompanying drawings.

Fig. 3 is a flow chart showing an initial  
synchronization method in a DS-CDMA inter-base station  
25 asynchronous cellular scheme according to the present

invention.

The DS-CDMA inter-base station asynchronous cellular scheme used in the present invention is comprised of:

a first stage constituted by a long code timing  
5 detecting section 1 for detecting a long code timing and a  
threshold determination section 2 for determining a  
threshold by comparing the correlation value obtained by  
the long code timing detecting section 1 with an arbitrary  
threshold;

10 a second stage constituted by a long code group  
detecting section 3 for detecting a long code timing and a  
threshold determination section 4 for determining a  
threshold by comparing the correlation value obtained by  
the long code group detecting section 3 with an arbitrary  
15 threshold; and

a third stage constituted by a long code detecting  
section 5 for detecting a long code from long code  
candidates, a threshold determination section 6 for  
determining a threshold by comparing the correlation value  
20 obtained by the long code detecting section 5 with an  
arbitrary threshold, and a frame synchronization detecting  
section 7 for performing frame synchronization detection  
with a detected long code.

The operation of this embodiment will be described  
25 next with reference to the flow chart of Fig. 3.

(1) First Stage: Detection of Long Code Timing

First of all, the mobile station detects the correlation power value between a received signal and a common identification code by using a matched filter, and  
5 also detects a correlation peak value (maximum correlation power value) at the long code timing (long code timing detecting section 1). After correlation values corresponding to an arbitrary number of frames are averaged, the threshold determination section 2 compares  
10 the maximum correlation output value with an arbitrarily determined threshold. If the maximum correlation output value does not exceed the threshold, the first stage is performed again. If the maximum correlation output value exceeds the threshold, the temporal position of the  
15 detection is set as the long code reception timing of a connection destination channel.

(2) Second Stage: Identification of Long Code Group

In the second stage, the correlation between the received signal and each long code group identification  
20 short code at the long code reception timing detected in the first stage is detected (threshold determination section 2). The correlation between the received signal and each long code group identification short code is detected on the basis of the detected long code timing,  
25 and the sum of correlation values corresponding to the

number of long code group identification short codes in the respective slots is calculated according to the transmission pattern of long code group identification short codes in the respective long code groups. A group  
5 having a maximum correlation sum is set as a group to which the long code of the received signal belongs, and a slot in which the head of this pattern is obtained is detected as the start slot of the frame. The arbitrarily set threshold is then compared with the maximum  
10 correlation sum. If the maximum correlation value does not exceed the threshold, the processing is performed again from the first stage.

(3) Third Stage: Identification of Long Code

In the third stage, a long code is identified. The  
15 mobile station detects the correlation between the received signal and each of all scramble code candidates included in the scramble code group detected by using the frame timing detected in the second stage, and the threshold determination section determines a threshold,  
20 thereby identifying a scramble code. As this threshold, the maximum correlation peak value in the long code timing detection is used. If the threshold determination section  
6 determines that the correlation detection value exceeds the threshold, synchronization is detected by using the  
25 identified long code. If the synchronization is

established, long code synchronization is completed. If the correlation detection values for all long code candidates do not exceed the threshold, a cell search failure is determined, and the flow returns to the first stage to perform a search again. When threshold determination is successful, but frame synchronization detection has failed, the flow also returns to the first stage to perform a search again.

An example of the long code timing detecting section 1 and threshold determination section 2 in the first stage shown in Fig. 3 will be described in detail next with reference to Fig. 4.

Fig. 4 is a block diagram showing an example of the arrangement of the first state in the embodiment shown in Fig. 3.

The first stage is comprised of a correlator 21 for detecting with a common identification code the correlation values of a real-part amplitude value (I) and imaginary-part amplitude value (Q), which are received by an antenna, quadrature-demodulated by a radio section, and A/D-converted in units of  $1/2$  chips, a correlation power value detecting section 22 for obtaining the correlation power values between the respective correlation output values detected by the correlator 21, an inter-frame averaging section 23 for averaging the correlation power

values from the correlation power value detecting section 22 between the respective frames, a memory section 24 for holding the average correlation power value obtained by the inter-frame averaging section 23, a threshold decision section 25 for deciding a threshold by using the correlation power value held in the memory section 24, a determination section 26 for determining the correlation power value held in the memory section 24 by using the threshold decided by the threshold decision section 25, and a long timing detecting section 27 for, if it is determined that an average correlation power value exceeds the threshold value, detecting a long code timing and notifying the second stage processing section of the long code timing.

The operation of the first stage shown in Fig. 1 will be described in detail next.

The correlator 21 detects with a common identification code the correlation values between a real-part amplitude value and imaginary-part amplitude value, which are received by the antenna, quadrature-demodulated by a radio section, and A/D-converted in units of  $1/2$  chips. The correlator 21 detects the correlation values between the real-part amplitude value and the imaginary-part amplitude value by using a matched filter, and outputs the correlation values



to the correlation power value detecting section 22.

The correlation power value detecting section 22 calculates  $I^2 + Q^2$  by using the respective correlation values obtained from the real- and imaginary-part amplitude values to obtain a correlation power value. In this case, the correlation power value detecting section 22 may simply calculate a correlation power value by performing only four fundamental operations of arithmetic, e.g.,  $\text{MAX}(|I| \text{ or } |Q|) + 0.5\text{MIN}(|I| \text{ or } |Q|)$  without performing any squaring operation.

To increase the S/N ratio, the inter-frame averaging section 23 averages the correlation power values in units of slot periods generated by a common identification code during an arbitrary frame period designated in advance, and the average inter-slot correlation power value is held in the memory section 24.

As average inter-slot correlation power value, the threshold decision section 25 obtains the average of correlation power values from which given correlation power values ranging from the maximum correlation power value to an correlation power value of an arbitrary ordinal number therefrom and correlation values before and after the given correlation power values are excluded, and sets the average as an arbitrary threshold.

Fig. 5 explains how a threshold is set.

Referring to Fig. 5, given correlation values ranging from the maximum correlation power value to the fourth correlation value and correlation values before and after the given correlation power values are obtained, and the  
5 average of correlation power values from which the obtained correlation power values are omitted is obtained. In this case, a window may be set in some part between slots to determine a threshold within this range.

The determination section 26 shown in Fig. 4  
10 determines the decided threshold value. If the maximum correlation power value exceeds the threshold, the timing of the maximum correlation power value is set as a long code timing, and the long timing detecting section 27 notifies the second stage processing section of the long  
15 code timing. If the maximum correlation power value does not exceed the threshold value, the processing in the first stage is performed again.

An example of each of the long code group detecting section 3 and threshold determination section 4 in the  
20 second stage shown in Fig. 3 will be described next with reference to Fig. 6.

Fig. 6 is a block diagram showing an example of the arrangement of the second stage in the embodiment shown in Fig. 3.

25 The second stage is comprised of a correlator 41 for

detecting at the long code timing notified from the first stage the correlation values between each long code group identification short code and the real-part amplitude value (I) and imaginary-part amplitude value (Q), which  
5 are received by the antenna, quadrature-demodulated by the radio section, and A/D-converted in units of 1/2 chips, a correlation power value detecting section 42 for obtaining correlation power values from the respective correlation output values detected by the correlator 41, an  
10 inter-frame averaging section 43 for averaging the correlation power values from the correlation power value detecting section 42 between frames, a memory section 44 for holding the average correlation power value obtained by the inter-frame averaging section 43, a long code group  
15 correlation sum detecting section 45 for obtaining the sum of the correlation power values held in the memory section 44 according to a transmission pattern based on each predetermined long code group identification short code, a determination section 46 for determining an arbitrary  
20 threshold from each correlation sum detected by the long code group correlation sum detecting section 45, and determining a threshold, and a long code detecting section 47 for, when the determination section 46 determines that the maximum correlation sum exceeds the threshold,  
25 detecting a frame timing as a group to which the long code

belongs.

The operation of the second stage shown in Fig. 6 will be described in detail next.

The correlator 41 detects at the long code timing notified from the first stage the correlation values between each long code group identification short code and the real-part amplitude value (I) and imaginary-part amplitude value (Q), which are received by the antenna, quadrature-demodulated by the radio section, and A/D-converted in units of 1/2 chips.

The correlation power value detecting section 42 calculates  $I^2 + Q^2$  using the respective correlation values obtained from the real- and imaginary-part amplitude values to obtain correlation power values.

To increase the S/N ratio, the inter-frame averaging section 43 averages the respective correlation power values between frames during an arbitrary frame period designated in advance.

The respective correlation power values averaged by the inter-frame averaging section 43 are held in the memory section 44. By using the correlation power values held in the memory section 44, the long code group correlation sum detecting section 45 obtains long code correlation sums according to the transmission pattern of the predetermined respective long code group

identification short codes. The long code group correlation sum detecting section 45 obtains the average of the respective correlation sums from which the detected maximum long code correlation sum is excluded, and sets  
5 the average as an arbitrary threshold.

If the correlation sum corresponding to the number of long code groups in a given group becomes a maximum value in a given slot, this group is set as a group to which the long code of the received signal belongs. In addition, a  
10 slot in which the head of this pattern is obtained is detected as the start slot of the frame.

The determination section 46 shown in Fig. 6 performs determination with the determined threshold. If the maximum correlation sum exceeds the threshold, the long  
15 code detecting section 47 notifies the third stage of the long code group number and frame timing. If the maximum correlation sum does not exceed the threshold, the processing is performed again from the first stage.

An example of the long code detecting section 5,  
20 threshold determination section 6, and frame synchronization detecting section 7 shown in Fig. 3 will be described in detail next with reference to Fig. 7.

Fig. 7 is a block diagram showing an example of the arrangement of the third stage of the embodiment shown in  
25 Fig. 3.

The third stage is comprised of a replica code generating section 51 for generating replica codes of all long code candidates included in the long code group detected by using the frame timing detected in the second stage, a correlation detecting section 52 for detecting the correlation value between the received signal and each replica code detected by the replica code generating section 51, an average detecting section 53 for averaging the correlation values detected by the correlation detecting section 52, a determination section 54 for determining a threshold by comparing each average correlation value with a long code, and a frame synchronization detecting section 55 for performing frame synchronization detection if the long code exceeds the threshold.

The operation of the third stage shown in Fig. 7 will be described in detail next.

In this case, a long code is identified. The replica code generating section 51 generates replica codes of long and short codes for all the long code candidates included in the long code group detected by using the frame timing detected in the second stage. The correlation detecting section 52 detects correlation values for the obtained synchronization timing.

To increase the S/N ratio of each correlation value,

the average detecting section 53 performs averaging an arbitrary number of times. The average of the respective average correlation values from which the maximum correlation value is excluded is obtained as a threshold,  
5 and a long code that exceeds the threshold is detected.

The determination section 54 determines a threshold by comparing each average correlation value with the long code, thus identifying the long code. If the determination section 54 determines that the long code  
10 exceeds the threshold, the frame synchronization detecting section 55 performs frame synchronization detection by using the identified long code.

Frame synchronization is performed by demodulating a sync signal sent from the transmitting side in synchronism  
15 with the long code. If this signal is properly demodulated on the receiving side, it is determined that frame synchronization is established, and synchronization of the long code is completed. If none of the correlation detection values based on all the long code candidates  
20 exceeds the threshold, it is determined that the cell search has failed, and the flow returns to the first stage to perform a search again. When threshold determination is successful but frame synchronization has failed, the flow also returns to the first stage to perform a search  
25 again.

**WHAT IS CLAIMED IS:**

1. An initial synchronization method in a DS-CDMA inter-base station asynchronous cellular scheme of transmitting a spreading code sequence constituted by a long code unique to each cell and a short code corresponding to each communication channel, an identification code (common identification code) common to each cell in a predetermined period (slot), and a long code group identification short code corresponding to the long code in each cell upon multiplexing the codes, comprising:

a first stage including the step of detecting correlation power values between the common identification code and a received signal by using a correlator, and detecting a long code timing from a corresponding base station on the basis of a maximum value of the correlation power values, and the step of performing threshold determination by comparing the maximum correlation power value with an arbitrary threshold, and performing processing again from the first stage if the maximum correlation power value does not exceed the threshold;

a second stage including the step of detecting a correlation value between the received signal and each long code group identification short code, and obtaining a sum of the correlation values corresponding to the number



of long code group identification short codes in each slot according to a transmission pattern of long code group identification short codes in each predetermined long code group, the step of setting a group having a maximum correlation sum as a group to which a long code of the received signal belongs, the step of detecting a slot in which a head of the pattern is obtained as a start slot of a frame, and the step of comparing an arbitrarily set threshold with the maximum correlation sum and performing processing again from the first stage if the maximum correlation sum does not exceed the threshold; and

a third stage including the step of sequentially generating replica codes of long codes and short codes from long code candidates included in the long code group identified in the second stage, the step of performing correlation detection for the obtained synchronization timing, the step of performing long code correlation detection to check whether the correlation detection value exceeds an arbitrary threshold, the step of returning to the first stage if none of correlation detection values of all long codes exceeds the threshold, and the step of determining a long code exceeding the threshold as a long code of a target cell, performing synchronization detection by using a frame sync signal, completing initial synchronization if synchronization is detected, and

returning to the first state if synchronization is not detected.

2. A method according to claim 1, wherein the threshold determination in the first stage includes the  
5 step of obtaining an average of correlation power values from which given correlation values ranging from the maximum correlation power value to a correlation power value of an arbitrary ordinal number therefrom and correlation values before and after the given correlation  
10 power values are excluded, and setting the average as an arbitrary threshold.

3. A method according to claim 1, wherein the threshold determination in the second stage includes the  
15 step of obtaining an average of the respective correlation sums from which the detected maximum correlation sum is excluded, and setting the average as an arbitrary threshold.

4. A method according to claim 1, wherein identification of a long code in the third stage includes  
20 the step of sequentially generating replica codes of long codes and short codes from long code candidates included in the identified long code group, performing correlation detection for an obtained synchronization timing, detecting correlation values of long codes to check  
25 whether the correlation detection value exceeds an

arbitrary threshold, returning to the first stage if none of the correlation detection values of the long codes exceeds the threshold, determining a long code as a long code in a target cell if the long code exceeds the  
5 threshold, performing synchronization detection by using a frame sync signal, determining completion of initial synchronization if synchronization is detected, and returning to the first stage if synchronization is not detected.

10           5. A method according to claim 1, wherein threshold determination in the third stage includes the step of obtaining an average of the respective correlation detection values from which a detected maximum correlation detection value is excluded, and setting the average as an  
15 arbitrary threshold.

20           6. A DS-CDMA cellular system using an initial synchronization method in a DS-CDMA inter-base station asynchronous cellular scheme of transmitting a spreading code sequence constituted by a long code unique to each  
25 cell and a short code corresponding to each communication channel, an identification code (common identification code) common to each cell in a predetermined period (slot), and a long code group identification short code corresponding to the long code in each cell upon multiplexing the codes, comprising:

a first section including means for detecting correlation power values between the common identification code and a received signal by using a correlator, and detecting a long code timing from a corresponding base station on the basis of a maximum value of the correlation power values, and means for performing threshold determination by comparing the maximum correlation power value with an arbitrary threshold, and performing processing again from processing in said first section if the maximum correlation power value does not exceed the threshold;

a second section including means for detecting a correlation value between the received signal and each long code group identification short code, and obtaining a sum of the correlation values corresponding to the number of long code group identification short codes in each slot according to a transmission pattern of long code group identification short codes in each predetermined long code group, means for setting a group having a maximum correlation sum as a group to which a long code of the received signal belongs, means for detecting a slot in which a head of the pattern is obtained as a start slot of a frame, and means for comparing an arbitrarily set threshold with the maximum correlation sum and performing processing again from processing in said first section if

the maximum correlation sum does not exceed the threshold;  
and

a third section including means for sequentially  
generating replica codes of long codes and short codes  
5 from long code candidates included in the long code group  
identified in said second section, means for performing  
correlation detection for the obtained synchronization  
timing, means for performing long code correlation  
detection to check whether the correlation detection value  
10 exceeds an arbitrary threshold, means for returning to  
processing in said first section if none of correlation  
detection values of all long codes exceeds the threshold,  
and means for determining a long code exceeding the  
threshold as a long code of a target cell, performing  
15 synchronization detection by using a frame sync signal,  
completing initial synchronization if synchronization is  
detected, and returning to processing in the first state  
if synchronization is not detected.

7. A system according to claim 6, wherein said first  
20 section comprises means for obtaining an average of  
correlation power values from which given correlation  
values ranging from the maximum correlation power value to  
a correlation power value of an arbitrary ordinal number  
therefrom and correlation values before and after the  
25 given correlation power values are excluded, and setting

the average as an arbitrary threshold.

8. A system according to claim 6, wherein said second section comprises means for obtaining an average of the respective correlation sums from which the detected maximum correlation sum is excluded, and setting the average as an arbitrary threshold.

9. A system according to claim 6, wherein said third section comprises means for sequentially generating replica codes of long codes and short codes from long code candidates included in the identified long code group, performing correlation detection for an obtained synchronization timing, detecting correlation values of long codes to check whether the correlation detection value exceeds an arbitrary threshold, returning to processing in said first section if none of the correlation detection values of the long codes exceeds the threshold, determining a long code as a long code in a target cell if the long code exceeds the threshold, performing synchronization detection by using a frame sync signal, determining completion of initial synchronization if synchronization is detected, and returning to processing in said first section if synchronization is not detected.

10. A system according to claim 6, wherein said third section comprises means for obtaining an average of the



ABSTRACT OF THE DISCLOSURE

In an initial synchronization method in a DS-CDMA inter-base station asynchronous cellular scheme of transmitting a spreading code sequence constituted by a long code unique to each cell and a short code corresponding to each communication channel, an identification code (common identification code) common to each cell in a predetermined period (slot), and a long code group identification short code corresponding to the long code in each cell upon multiplexing the codes, a first stage includes detecting correlation power values between the common identification code and a received signal by using a correlator, and detecting a long code timing from a corresponding base station on the basis of a maximum value of the correlation power values, and performing threshold determination by comparing the maximum correlation power value with an arbitrary threshold, and performing processing again from the first stage if the maximum correlation power value does not exceed the threshold, a second stage includes detecting a correlation value between the received signal and each long code group identification short code, and obtaining a sum of the correlation values corresponding to the number of long code group identification short codes in each slot



according to a transmission pattern of long code group  
identification short codes in each predetermined long code  
group, setting a group having a maximum correlation sum as  
a group to which a long code of the received signal  
5 belongs, detecting a slot in which a head of the pattern  
is obtained as a start slot of a frame, and comparing an  
arbitrarily set threshold with the maximum correlation sum  
and performing processing again from the first stage if  
the maximum correlation sum does not exceed the threshold,  
10 and a third step includes sequentially generating replica  
codes of long codes and short codes from long code  
candidates included in the long code group identified in  
the second stage, performing correlation detection for the  
obtained synchronization timing, performing long code  
15 correlation detection to check whether the correlation  
detection value exceeds an arbitrary threshold, returning  
to the first stage if none of correlation detection values  
of all long codes exceeds the threshold, and determining a  
long code exceeding the threshold as a long code of a  
20 target cell, performing synchronization detection by using  
a frame sync signal, completing initial synchronization if  
synchronization is detected, and returning to the first  
state if synchronization is not detected.

## FIG.1 PRIOR ART

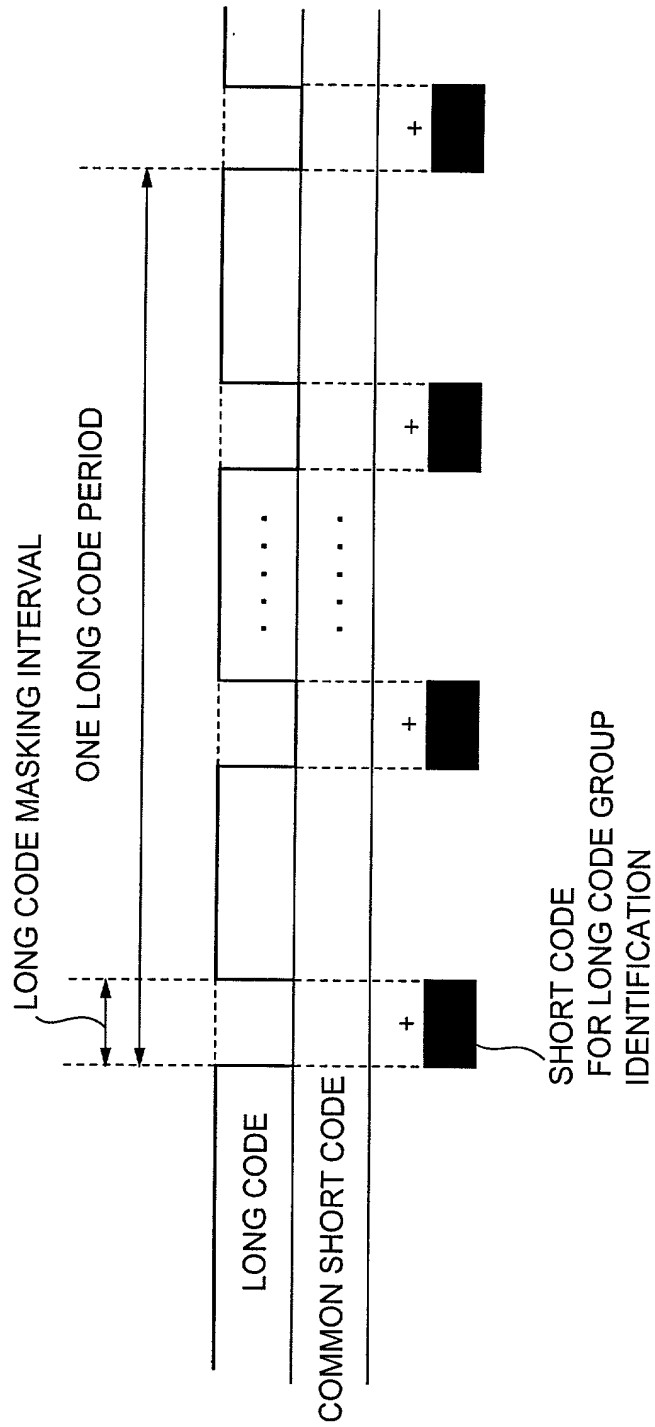


FIG.2 PRIOR ART

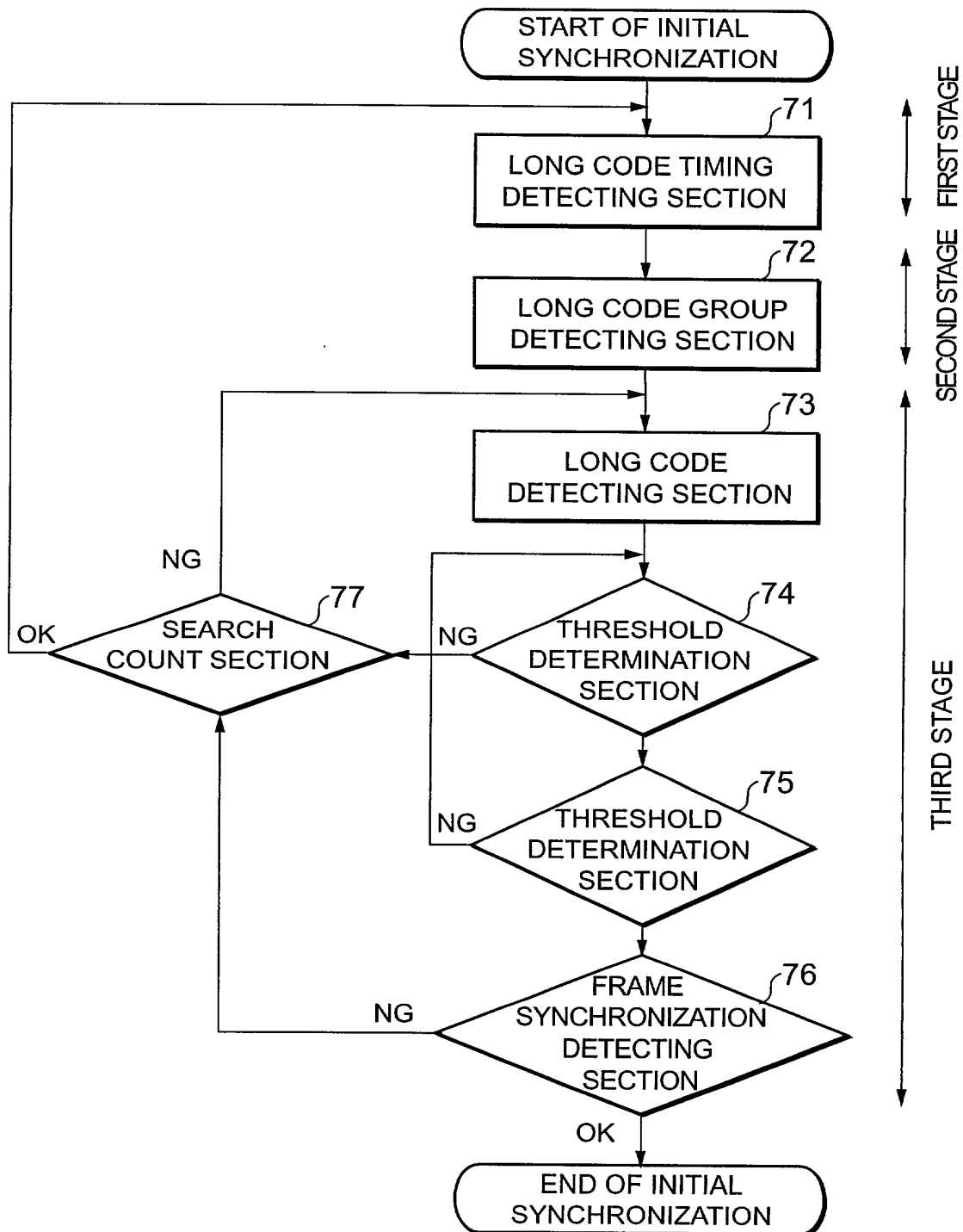


FIG.3

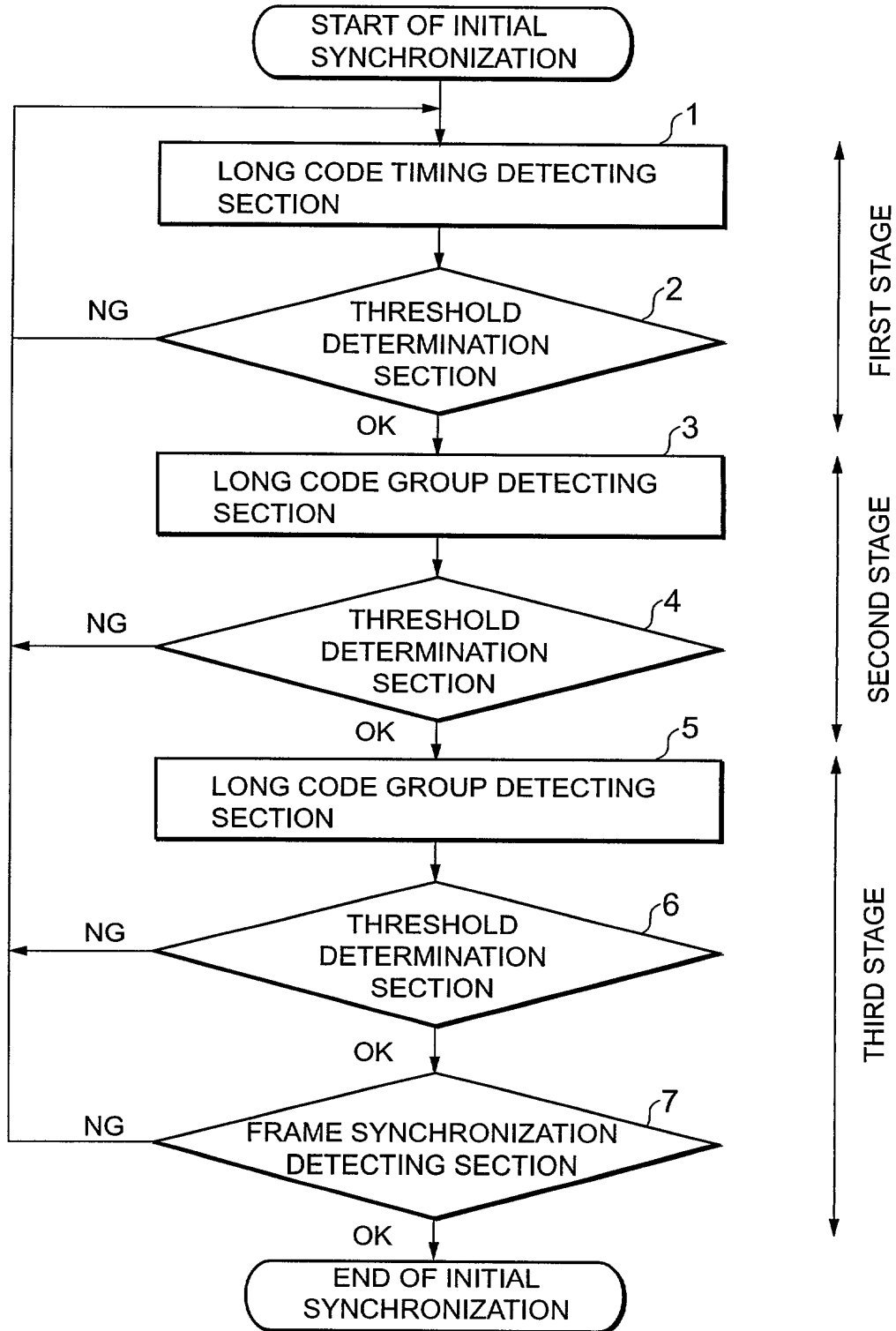


FIG.4

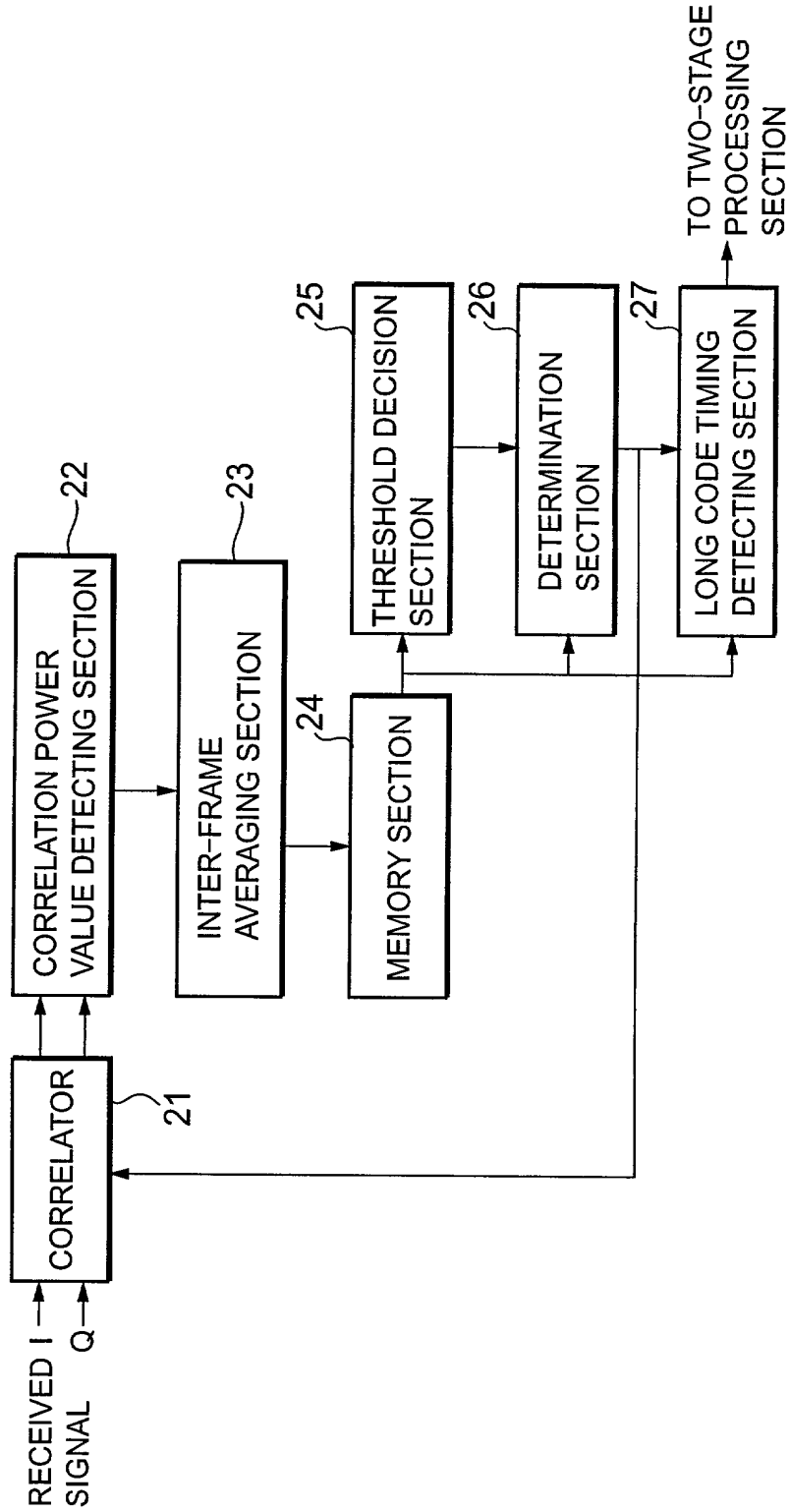


FIG.5

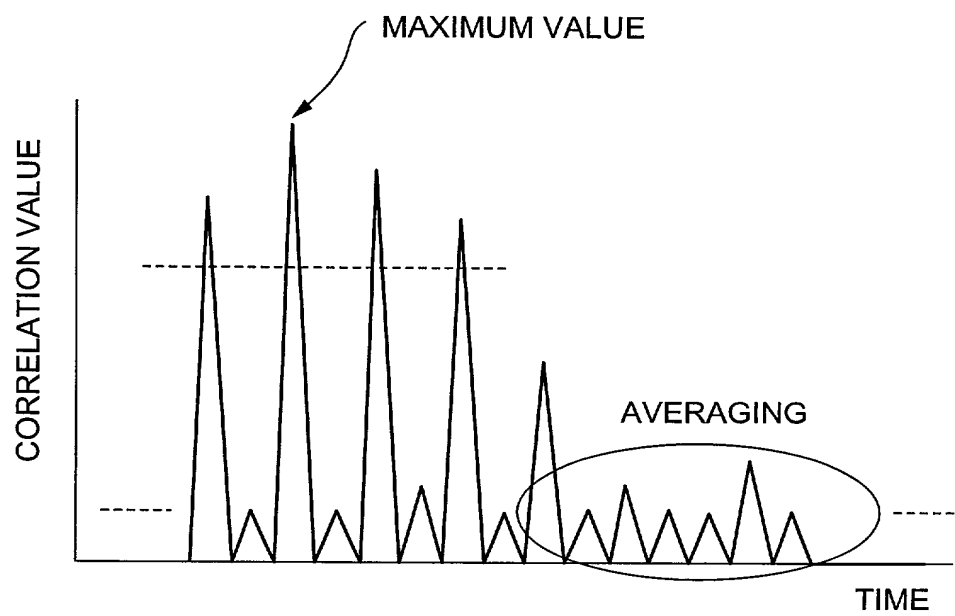


FIG.6

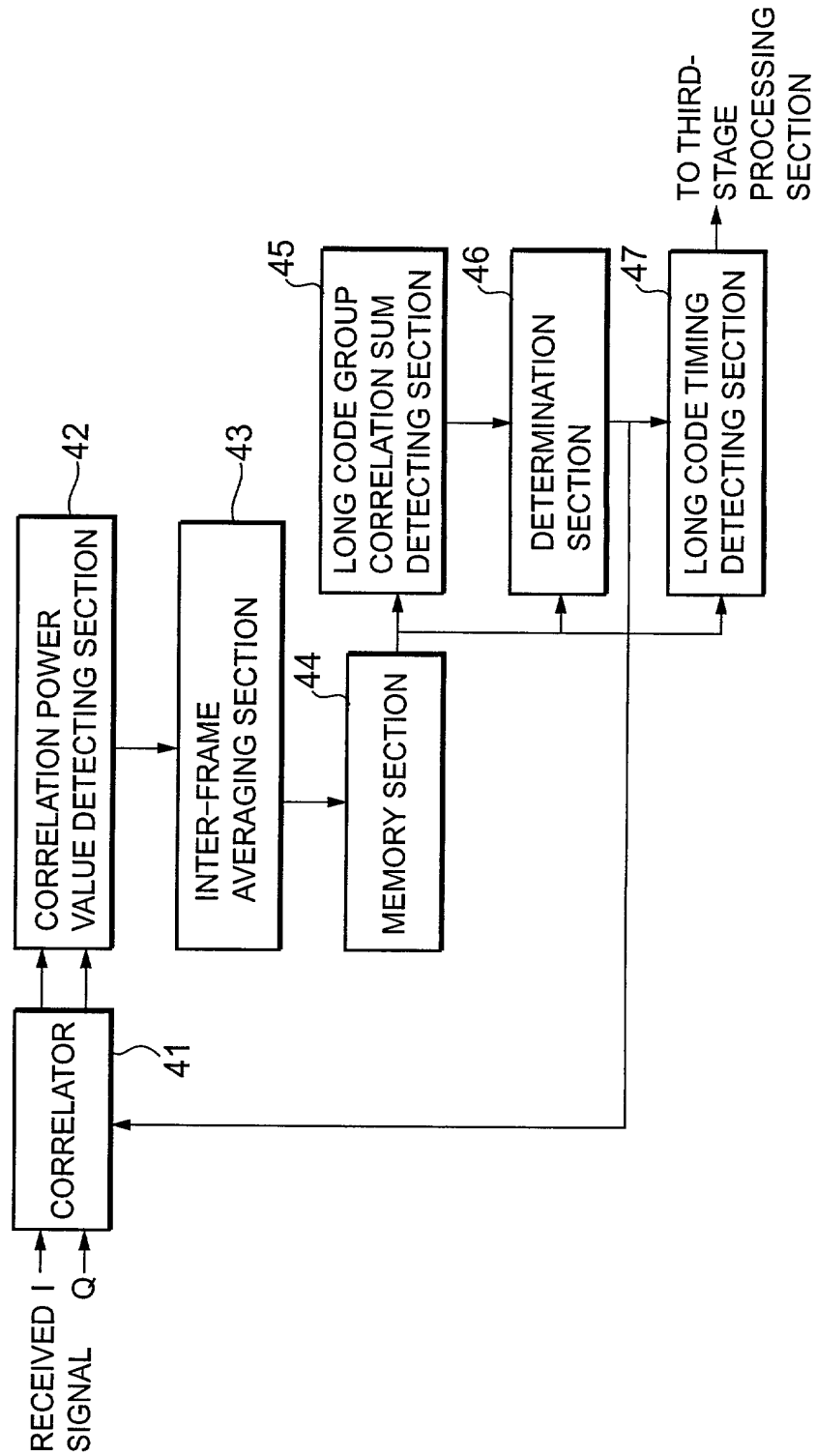
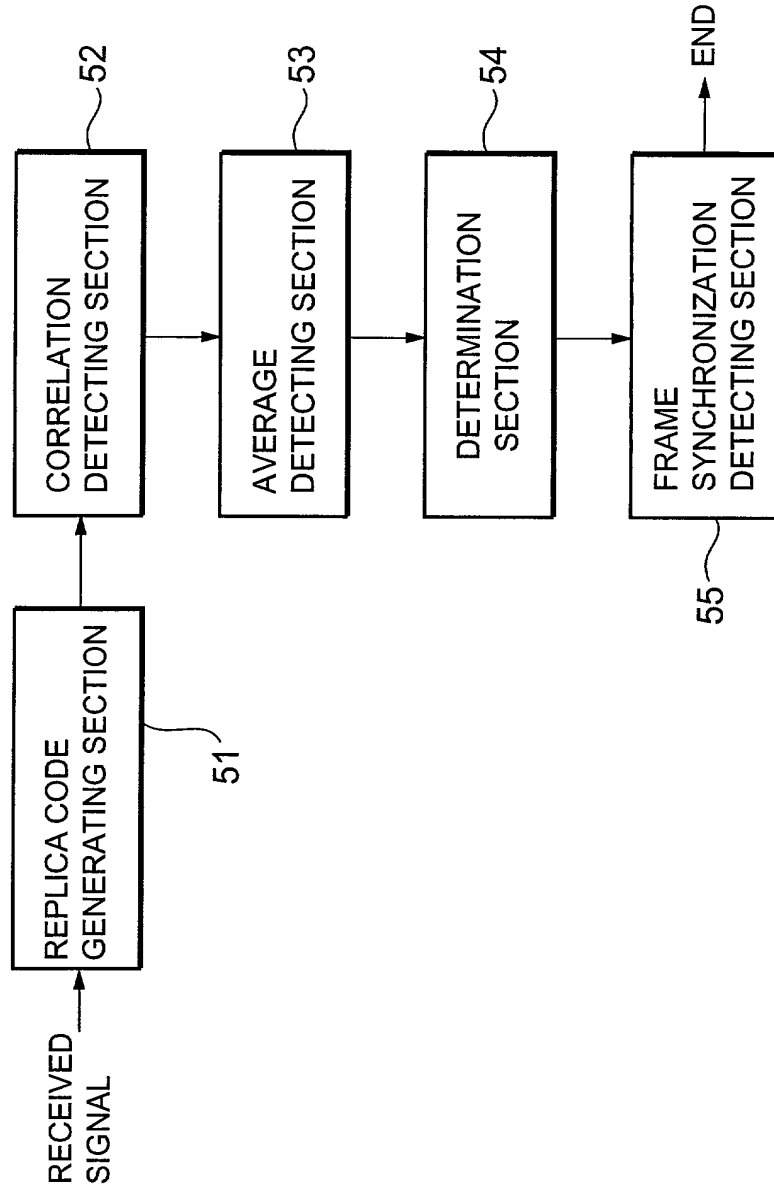


FIG.7





SOLE/JOINT

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name: that I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought in the application entitled:

INITIAL SYNCHRONIZATION METHOD IN DS-CDMA INTER-BASE  
STATION ASYNCHRONOUS CELLULAR SCHEME

which application is:

☒ the attached application  
(for original application)

\_\_\_\_\_ application Serial No. \_\_\_\_\_  
filed \_\_\_\_\_, and amended on \_\_\_\_\_

(for declaration not accompanying application)

that I have reviewed and understand the contents of the specification of the above-identified application, including the claims, as amended by any amendment referred to above; that I acknowledge my duty to disclose information of which I am aware which is material to the patentability of this application under 37 C.F.R. 1.56, that I hereby claim foreign priority benefits under Title 35, United States Code §119, §172 or §365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified on said list any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

Application Number	Country	Filing Date	Priority Claimed (yes or no)
327946/1999	Japan	November 18, 1999	yes

I hereby claim the benefit of Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in a listed prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge my duty to disclose any information material to the patentability of this application under 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
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I hereby appoint John H. Mion, Reg. No. 18,879; Donald E. Zinn, Reg. No. 19,046; Thomas J. Macpeak, Reg. No. 19,292; Robert J. Seas, Jr., Reg. No. 21,092; Darryl Mexic, Reg. No. 23,063; Robert V. Sloan, Reg. No. 22,775; Peter D. Olexy, Reg. No. 24,513; J. Frank Osha, Reg. No. 24,625; Waddell A. Biggart, Reg. No. 24,861; Robert G. McMorrow, Reg. No. 19,093; Louis Gubinsky, Reg. No. 24,835; Neil B. Siegel, Reg. No. 25,200; David J. Cushing, Reg. No. 28,703; John R. Inge, Reg. No. 26,916; Joseph J. Ruch, Jr., Reg. No. 26,577; Sheldon I. Landsman, Reg. No. 25,430; Richard C. Turner, Reg. No. 29,710; Howard L. Bernstein, Reg. No. 25,665; Alan J. Kasper, Reg. No. 25,426; Kenneth J. Burchfiel, Reg. No. 31,333; Gordon Kit, Reg. No. 30,764; Susan J. Mack, Reg. No. 30,951; Frank L. Bernstein, Reg. No. 31,484; Mark Boland, Reg. No. 32,197; William H. Mandir, Reg. No. 32,156; Scott M. Daniels, Reg. No. 32,562; Brian W. Hannon, Reg. No. 32,778 and Abraham J. Rosner, Reg. No. 33,276, my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and request that all correspondence about the application be addressed to **SUGHRUE, MION, ZINN, MACPEAK & SEAS**, 2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3202.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date November 9, 2000

First Inventor Hidenori MARUYAMA  
First Name Middle Initial Last Name

Residence Tokyo, Japan

Signature Hidenori Maruyama (36)

Post Office Address c/o NEC Corporation, 7-1,

Citizenship Japanese

Shiba 5-chome, Minato-ku, Tokyo, Japan